

Personality Change Pre- to Post- Loss in Spousal Caregivers of Patients With Terminal Lung Cancer

Social Psychological and
Personality Science
1-8

© The Author(s) 2014

Reprints and permission:

sagepub.com/journalsPermissions.nav

DOI: 10.1177/1948550614524448

spps.sagepub.com



Michael Hoerger^{1,2}, Benjamin P. Chapman², Holly G. Prigerson³,
Angela Fagerlin⁴, Supriya G. Mohile², Ronald M. Epstein²,
Jeffrey M. Lyness², and Paul R. Duberstein²

Abstract

Personality is relatively stable in adulthood but could change in response to life transitions, such as caring for a spouse with a terminal illness. Using a case-control design, spousal caregivers ($n = 31$) of patients with terminal lung cancer completed the NEO Five-Factor Inventory (NEO-FFI) twice, 1.5 years apart, before and after the patient's death. A demographically matched sample of community controls ($n = 93$) completed the NEO-FFI on a similar time frame. Based on research and theory, we hypothesized that bereaved caregivers would experience greater changes than controls in interpersonal facets of extraversion (sociability), agreeableness (prosocial and nonantagonistic), and conscientiousness (dependability). Consistent with hypotheses, bereaved caregivers experienced an increase in interpersonal orientation, becoming more sociable, prosocial, and dependable (Cohen's $d = .48-.67$), though there were no changes in nonantagonism. Changes were not observed in controls ($ds \leq .11$). These initial findings underscore the need for more research on the effect of life transitions on personality.

Keywords

bereavement adjustment, caregiving, personality change

Spousal caregivers of patients with terminal illnesses simultaneously experience transitions to new roles and often-unprecedented stressors surrounding loss. Recognizing that spousal caregivers are susceptible to elevated morbidity and mortality, they have been described as “hidden patients” (Löckenhoff, Duberstein, Friedman, & Costa, 2011). Transitions can lead to increased depression and anxiety, and some caregivers experience prolonged grief during bereavement (Braun, Mikulincer, Rydall, Walsh, & Rodin, 2007; Prigerson et al., 2009). Caregivers may also experience existential changes, such as finding a greater sense of meaning and purpose (Kim, Carver, Schulz, Lucette, & Cannady, 2013). It has been suggested that during the bereavement process, some caregivers may experience broad changes in personality (Caspi & Moffitt, 1993; McCrae & Costa, 1993), particularly in terms of how they interact with others (Shapiro, 2001; Shear & Shair, 2005). As the first study of which we are aware to quantify longitudinal changes in the five established domains of personality in a sample of bereaved caregivers, this study has implications for understanding the malleability of personality in response to life transitions in middle and older adulthood and efforts to enhance family-centered end-of-life care.

The Five-Factor Model (Costa & McCrae, 1992) provides a useful taxonomic frame for describing human dispositional

variation along five broad personality domains: neuroticism, extraversion, openness to experience, agreeableness, and conscientiousness. A meta-analysis of 150 longitudinal studies showed that most personality development occurs prior to age 30, becoming highly crystallized in middle and older adulthood with 5-year longitudinal correlations surpassing $r = .70$ (Roberts & DelVecchio, 2000). Even during this peak of stability, however, personality can change due to life transitions, such as starting a job or getting married and success or failure in making these transitions (Hill, Turiano, Mroczek, & Roberts, 2012; Hudson, Roberts, & Lodi-Smith, 2012; Roberts & Mroczek, 2008; Specht, Egloff, & Schmukle, 2013).

Despite the importance of caregiver personality for mental health and physical functioning (Kim, Duberstein, Sorensen,

¹ Tulane Cancer Center, New Orleans, LA, USA

² University of Rochester Medical Center, Rochester, NY, USA

³ Weill Cornell Medical College, New York, NY, USA

⁴ University of Michigan Medical School, Ann Arbor, MI, USA

Corresponding Author:

Michael Hoerger, Tulane Cancer Center, 3042 Stern Hall, New Orleans, LA 70118, USA.

Email: mhoerger@tulane.edu

& Larson, 2005; Löckenhoff et al., 2011), existing studies have provided inconclusive evidence that spouses experience any personality change surrounding the death of their partner (McCrae & Costa, 1993; Specht, Egloff, & Schmukle, 2011). One study (McCrae & Costa, 1993) found no differences between bereaved and married spouses on extraversion and openness, but the other three traits were not examined. Another showed that experiencing the death of a spouse was associated with less stable longitudinal correlations for agreeableness (Specht et al., 2011). Neither study could explore the influence of the caregiving–bereavement transition on personality change. In both studies, the samples of bereaved spouses were quite heterogeneous—not all were involved with caregiving, and the causes of death varied considerably (i.e., various chronic and acute illnesses, accidents, suicide, and homicide).

It has been suggested that life transitions that are characterized by relatively clearer social norms and rituals are more likely to engender personality change and that transitions characterized by relatively unclear norms are more likely to foster personality continuity (Caspi & Moffitt, 1993). Consistent with this view, the sociogenomic model of personality (Roberts, 2009) emphasizes that repeated reinforcement of state changes in constituent components of personality (i.e., thought, behavior, and affect) is needed to foster personality development incrementally over time, and social norms are an important source of ongoing reinforcement. Acknowledging that social norms surrounding death from natural illness in the United States continue to evolve (Carr, 2012), these norms are arguably somewhat better established for terminal illnesses with a predictable course (e.g., lung cancer) than for sudden deaths by accident, homicide, or suicide (Aldred, Gott, & Gariballa, 2005; Cerel, Jordan, & Duberstein, 2008). Therefore, we focused this initial investigation on terminal lung cancer and hypothesized that the process of losing one's partner to lung cancer would lead spousal caregivers to experience personality change.

Drawing upon bereavement research and theory (Shapiro, 2001; Shear & Shair, 2005), we hypothesized that bereaved spousal caregivers would be particularly likely to experience changes in interpersonal facets of personality spanning extraversion, agreeableness, and conscientiousness. Caregivers may seek additional social support during bereavement (Ownsworth, Henderson, & Chambers, 2010), and the loss of a spouse could lead to significant restructuring of social networks (Bergman & Haley, 2009), perhaps with implications for the sociability component of extraversion. As well, bereaved caregivers commonly engage in “benefit finding” (Kim et al., 2013), such as developing a greater sense of tender-mindedness, compassion, responsibility, and character growth, perhaps reflected in aspects of agreeableness and the dependability facet of conscientiousness. In contrast to these changes in social behavior, bereavement research and theory makes no explicit predictions about openness to experience and suggests that changes in emotional stability are more commonly acute than enduring (Prigerson et al., 2009).

The current study is the first to examine personality change during the caregiving–bereavement transition in spouses of patients with terminal illnesses. A case–control design was used to examine personality changes over approximately 1.5 years in 31 spousal caregivers of patients with terminal lung cancer and a demographically matched control group of 93 continuously married adults in the community. Our primary hypothesis was that spousal caregivers would be more likely than community controls to experience personality change, as demonstrated by a higher percentage of participants experiencing reliable changes (Reliable Change Index [RCI] ≥ 1.96 ; Jacobson & Truax, 1991) across the NEO Five-Factor Inventory (NEO-FFI) personality facets. Second, based on research (Bergman & Haley, 2009; Kim et al., 2013; Ownsworth et al., 2010; Specht et al., 2011) and theory (Shapiro, 2001; Shear & Shair, 2005), we expected change to be confined primarily to interpersonal aspects of personality. Thus, we hypothesized that bereaved spousal caregivers would experience greater mean shifts and less stable longitudinal correlations than controls for interpersonal facets.

Method

Participants and Procedures

Self-reported personality data were collected longitudinally from a sample of spousal caregivers of patients with terminal lung cancer. For relatively rare events such as mortality, “case–control” study designs are often used (Rothman, Greenland, & Lash, 2008). Such designs assess individuals experiencing a relatively rare event and compare them to a generally similar control group. We utilized a control group of continuously married adults in the same community. As advocated in the guidelines for case–control studies, we selected a control group larger than the number of cases to provide reliable estimates and increased power for comparisons. A control group 3 to 4 times the size of controls is typically recommended, with larger control groups generally showing only small improvements in power. The control group was frequency matched¹ (Rothman et al., 2008) on age, gender, education level, and marital status at study entry (see Table 1), meaning that these variables were comparable across the two groups and thus could not account for observed group differences on any study outcome variables.

The sample of spousal caregivers ($n = 31$) consisted of all participants who completed personality measures, before and after the patient's death, as a part of a broader psycho-oncology study of 120 caregivers conducted with approval of the institutional review board (IRB) at the University of Rochester cancer center (Kim et al., 2005). They first completed the NEO-FFI (Costa & McCrae, 1992) at study enrollment, which was 8.15 (standard deviation [SD] = 14.14) months after the patient's diagnosis and again 18.00 ($SD = 7.47$) months later, which was 6.91 ($SD = 1.35$) months after the patient's death. In this sample, mean survival was 1.59 ($SD = 1.50$) years after diagnosis. Data on mortality in the entire cohort are unavailable

Table 1. Baseline Characteristics for Bereaved Spousal Caregivers and Matched Community Controls.

Variable	Caregivers (<i>n</i> = 31) <i>n</i> (%) or <i>M</i> (<i>SD</i>)	Controls (<i>n</i> = 93) <i>n</i> (%) or <i>M</i> (<i>SD</i>)
Gender, female	23 (74%)	69 (74%)
Married ^a	31 (100%)	93 (100%)
Race, White	31 (100%)	87 (94%)
Age, years	64.6 (9.3)	67.6 (4.2)
Education, years	13.7 (2.0)	14.0 (2.4)
Personality, 1–5 scales		
Big Five Domains		
Neuroticism	2.41 (0.65)	2.24 (0.64)
Extraversion	3.28 (0.59)	3.40 (0.54)
Openness	3.29 (0.50)	3.22 (0.44)
Agreeableness	3.89 (0.39)	3.95 (0.41)
Conscientiousness	4.04 (0.44)	3.88 (0.53)
Interpersonal orientation	3.80 (0.34)	3.86 (0.36)
Sociability	3.18 (0.68)	3.39 (0.65)
Prosocial orientation	4.02 (0.50)	4.18 (0.43)
Nonantagonistic orientation	3.84 (0.44)	3.83 (0.45)
Dependability	4.15 (0.40)	4.06 (0.54)

Note. ^aAll caregivers experienced the death of their partner during the study, whereas all controls remained continuously married throughout the duration of the study.

but refusal to participate in the postloss interview was believed to be exceedingly rare. Nearly half the inception cohort had Stage I disease (Kim et al., 2005) and most of these patients were alive 18 months after study entry. In contrast, most of the patients in this postmortem sample had either Stage III (35%) or Stage IV (42%) disease at the time of study entry. Moreover, in comparison to caregivers who only completed assessments at study entry, those in the present analyses were higher on openness ($p = .02$) but were otherwise comparable with respect to personality and demographics (all $ps > .20$).

The control group consisted of 93 demographically matched continuously married adults from the Rochester community. They were selected from participants recruited through primary care in one of the two broader IRB-approved studies of health and aging (Chapman et al., 2009; Lyness, Yu, Tang, Tu, & Conwell, 2009). They completed the NEO-FFI at study enrollment and again 16.06 ($SD = 7.42$) months later, with all remaining married at follow-up. Controls and caregivers were comparable with respect to basic demographics at study entry (proportion married, female, over age 70, college educated, and White), mean levels on the Big five personality traits at study entry, and the duration of the follow-up period between personality assessments.

Measures

The 60-item NEO-FFI (Costa & McCrae, 1992) was used to assess personality. As supported in factor analytic studies (Chapman, 2007; Saucier, 1998), the NEO-FFI measures the Big five domains of personality as well as 13 subscales

assessing narrower facets. These scales included Neuroticism (self-reproach and negative affect), Extraversion (sociability, positive affect, and activity level), Openness to experience (aesthetic interests, intellectual interests, and unconventionality), Agreeableness (prosocial orientation and nonantagonistic orientation), and Conscientiousness (dependability, orderliness, and goal striving). The NEO-FFI has shown evidence for reliability and validity in studies of personality in middle and older adulthood, including among caregivers of patients with serious illnesses (Chapman, Lyness, & Duberstein, 2007; Patrick & Hayden, 1999). Internal consistency reliability was comparable across time points and samples, with a median Cronbach's α of .81 for domains and .67 for facets, close to the .70 of longer NEO Personality Inventory–Revised facets and in line with reports from general samples (Chapman, 2007; Saucier, 1998). Additional descriptives are available in Table 1 and in the Online Appendix (Table S1; see Online Supplemental Material found at <http://spps.sagepub.com/supplemental>). For our secondary hypothesis, we used an overall indicator of Interpersonal Orientation, which was the summated composite of four interpersonal facets: sociability, prosocial orientation, nonantagonistic orientation, and dependability (Saucier, 1998). These interpersonal facets have been shown to correlate with other interpersonal constructs (e.g., warmth, altruism, trust, and dutifulness) and have implications for adult social functioning (Chapman, 2007; Hitchcock, 2008; Saucier, 1998). In the present sample, Cronbach's α was .73 and .77 for caregivers and .76 and .79 for controls, at baseline and follow-up, respectively (average $\alpha = .76$).

Statistical Analyses

The first hypothesis involved examining the percentage of participants in each sample experiencing reliable change in any of the personality facets. The RCI (Jacobson & Truax, 1991) was used to examine whether observed change in a facet score exceeded the level of change that would be expected due to measurement error, given the SD and reliability of the measure (see Robins Fraley, Roberts, & Trzesniewski, 2001; also McCrae, Kurtz, Yamagata, & Terracciano, 2011). RCIs of 1.96 or greater (corresponding to an α level of .05) in absolute magnitude reflected reliable change. A Z-test of proportions was used as an omnibus test to compare the percentage of bereaved spousal caregivers and the percentage of controls experiencing reliable personality change.

The second hypothesis examined the magnitude and direction of changes in interpersonal facets of personality. Change was operationalized using two standard approaches (Roberts & Mroczek, 2008). To quantify mean levels of change, we calculated Cohen's d and used independent samples t -tests and multivariate analysis of variance (MANOVA) as appropriate to evaluate the statistical significance of between-group differences. Greater absolute values for Cohen's d indicate greater change in a group as a whole. To assess relative change, we calculated Pearson's r , with lower values reflecting greater change in the rank ordering of a group of persons, regardless of the

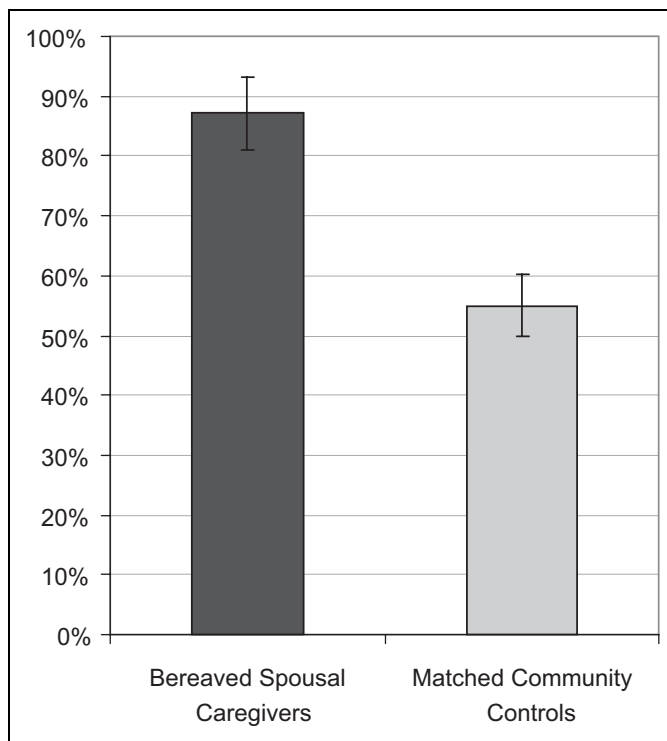


Figure 1. Percentage of participants experiencing reliable changes ($RCI \geq 1.96$) in any of the NEO-FFI personality facets. Supporting our first hypothesis, bereaved spousal caregivers were significantly more likely to experience personality change than matched community controls over the 1.5-year follow-up period. Error bars indicate the standard error of the mean.

group's mean shift. Regression was used to evaluate the statistical significance of between-group differences in correlations, as indicated by a significant group by personality interaction term. When mean and relative changes were present simultaneously, we also examined the proportion of participants experiencing 1 and 2 SD changes, with between-group differences evaluated using Z -tests. The case-control design controlled for demographic differences via frequency matching, and sensitivity analyses provided statistical adjustment for time since diagnosis, the length of the follow-up, and cancer staging. Finally, to guard against Type I errors, the binomial probability test was used to examine whether the number of interpersonal facets with observed differences significantly exceeded the number that would be expected by chance.

Results

Omnibus Test of Personality Change

Consistent with our broad first hypothesis, bereaved caregivers were more likely than controls to experience reliable personality change (see Figure 1). Specifically, 87.1% of bereaved caregivers (95% confidence interval [CI] = [75.3%, 98.9%]) experienced reliable personality change versus 54.8% of controls (CI = [44.7%, 64.9%]), $Z = 3.20$, $p = .001$. This difference of 32.3% was qualified by a broad CI [12.7%, 51.9%].

Areas of Personality Change

As hypothesized, bereaved spousal caregivers experienced changes in interpersonal orientation, whereas there were no significant changes in controls on any facet. Table 2 shows mean changes and longitudinal correlations. Bereaved caregivers experienced an increase in $d = .67$ (CI = [0.33, 1.01]) on the interpersonal orientation summated composite, indicating an average increase of $\frac{2}{3}$ - SD in interpersonal orientation. In MANOVA, the overall effect for between-group differences in change on the four interpersonal facets was significant, Wilks's $\Lambda = .884$, $F(4, 119) = 3.87$, $p = .005$, as were individual effects for sociability, prosocial orientation, and dependability (d s from .48 to .50; see Table 2), but not for nonantagonistic orientation. Thus, relative to controls, bereaved caregivers experienced increases in most but not all aspects of interpersonal orientation.

Longitudinal correlations differed by group for prosocial orientation, with caregivers ($r = .25$, CI = [−0.11, 0.55]) having lower relative stability than controls ($r = .58$, CI = [0.43, 0.70]) in terms of who was highest to lowest on prosocial orientation (see Table 2). Combined with the mean increase in prosocial orientation, this relative instability meant that some caregivers experienced a larger increase in prosocial orientation than others, with 42% (CI = [26%, 59%]) of caregivers experiencing a 1 SD increase, and 16% (CI = [7%, 33%]) experiencing a 2 SD increase (greater than values of 11% and 2% for controls, Z s ≥ 2.98 , p s $\leq .002$).

Among the noninterpersonal personality facets measured, both caregivers and controls showed evidence for stability, with no statistically significant differences between groups (Table S2; see Online Supplemental Material found at <http://spps.sagepub.com/supplemental>). The average magnitude of mean changes was $|d| = .10$ (from −.24 to .27, all p s n.s.) for caregivers, and $|d| = .08$ (from −.17 to .18, all p s n.s.) for controls. The average longitudinal correlation was $r = .67$ (from .53 to .87, all p s $< .002$) for caregivers, and $r = .69$ (from .57 to .76, all p s $< .001$) for controls.

The number of interpersonal facets with hypothesized and observed group differences (three of four) exceeded the rate expected by chance ($.05 \times 4$ facets = 0.20 facets by chance), $p < .001$. In summary, bereaved spousal caregivers were hypothesized and observed to experience changes in personality facets associated with social behavior.

Discussion

Bereaved spousal caregivers were more likely than controls to experience personality change, and these changes involved an increase in interpersonal orientation on facets spanning three domains of the Five-Factor Model (Costa & McCrae, 1992), namely agreeableness, extraversion, and conscientiousness. In fact, 87% (CI = [75%, 99%]) of bereaved caregivers experienced reliable personality change in at least one facet of personality (see Figure 1). Further, the average increase in interpersonal orientation was sizable in magnitude,

Table 2. Bereaved Spousal Caregivers and Matched Community Controls Differ in Amount of Personality Change Experienced During the 1.5-Year Longitudinal Study.

Personality measure	Mean Change (Cohen's <i>d</i>)		Longitudinal Correlation (<i>r</i>)	
	Caregivers	Controls	Caregivers	Controls
Sociability (E)	.48*** ^a	-.11	.80***	.80***
Prosocial orientation (A)	.50*** ^b	.01	.25 ^b	.58***
Nonantagonistic orientation (A)	-.01	.03	.64***	.72***
Dependability (C)	.50*** ^b	.00	.58***	.60***
Interpersonal orientation	.67*** ^a	.01	.60***	.77***

Note. E = extraversion facet, A = agreeableness facet, C = conscientiousness facet, interpersonal orientation = the summated composite of the four measures. Cohen's *d* is the standardized mean difference (change in *SD* units), with higher scores reflecting increased presence of the trait over time. *r* is the longitudinal correlation between personality scores at study entry and follow-up; higher correlations indicate greater relative stability.

^aDiffers from the control group, $p < .01$.

^bDiffers from the control group, $p < .05$.

* $p < .05$. ** $p < .01$. *** $p < .001$

approximately $\frac{2}{3}$ -*SD* (see Table 2). Arguably, caregiver changes in agreeableness were most dramatic, with two in five caregivers experiencing more than an *SD* increase in prosocial or courteous characteristics. Bereaved caregivers also experienced increased sociability, an extraversion facet, as well as increased dependability, a conscientiousness facet. As the first known study to examine personality changes during the caregiving–bereavement transition, our findings extend prior research (Braun et al., 2007; Kim et al., 2013; McCrae & Costa, 1993; Specht et al., 2011) by showing that interpersonal aspects of personality are sensitive to change during the caregiving–bereavement transitions.

This research has theoretical implications for understanding the impact of life transitions on personality development in the second half of life. Over the past several decades, the stability of personality has been subject to much debate (Roberts, 2009). It is now known that personality is relatively stable in the absence of major life perturbations, but potentially malleable in response to some types of life transitions involving health, relationships, and work (Roberts & Mroczek, 2008; Roberts, Walton, & Viechtbauer, 2006). Prior studies have found little (Specht et al., 2011) to no (McCrae & Costa, 1993) bereavement-related changes in personality. Those studies lumped together heterogeneous causes of death that may have affected personality in different ways and to different degrees (Caspi & Moffitt, 1993), neutralizing group-level effects. Our research suggests that when examining more uniform circumstances—participants were all spousal caregivers of patients with terminal lung cancer—bereavement-related personality changes are observable and can be sizable and multifaceted.

In contrast to our finding of personality change, some areas of caregiver personality were more stable. For example, trait-level neuroticism may remain stable throughout the bereavement process, despite state-level changes in caregiver emotional distress (Braun et al., 2007). Similarly, findings for openness indicate that caregivers' preferred styles of thinking are not modified during caregiving–bereavement transitions. Moreover, one aspect of interpersonal orientation, the

nonantagonistic facet of agreeableness, also remained stable. Based on item content and criterion correlations of the interpersonal facets in past studies (Chapman, 2007; Saucier, 1998), this suggests that the caregiving–bereavement transition may reflect changes in affiliativeness and altruism more so than hostility and argumentativeness.

Although bereaved spousal caregivers were significantly more likely than controls to experience personality change, many controls were found to experience idiosyncratic personality changes. As a group, controls did not experience mean-level changes in any of the 13 NEO-FFI facets, indicating that while changes were common, the specific nature of change varied from participant to participant. Prior studies have not typically reported the percentage of participants experiencing reliable personality changes, though our results indicate this might be a fruitful area of research.

Future studies can build on these findings by examining the implications of caregiver personality change for family functioning and the grieving process. In the context of terminal illnesses, spousal caregivers are often emotionally burdened by the challenges of treatment decision making and communicating with clinicians, other family members, and their ill spouses. Following the death of a spouse, widowed individuals must navigate social terrain without their partner. Changes in caregiver personality could complicate or ease these processes. As well, there is a need for research on the implications of personality change for prolonged grief (Prigerson et al., 2009). Further analyses of personality change following other somewhat homogeneous life transitions, such as unplanned early retirement or bereavement following suicide, accident, or sudden natural deaths are also warranted. Research is needed to understand how different types of life transitions affect personality continuity and change (Caspi & Moffitt, 1993).

This research also holds promise for informing interventions in the context of caregiving and other expectable (vs. unpredictable) life transitions. Existing theories of self-knowledge (Hoerger, Chapman, Epstein, & Duberstein, 2012; Wilson, 2009) suggest that people have a limited understanding of how they will be affected by future life transitions. Notably, while

individuals acknowledge prior changes in personality, they seem to experience great difficulty imagining future personality change (Quoidbach, Gilbert, & Wilson, 2013). Yet, foreknowledge is instrumental for guiding planning and facilitating adjustment. In the same way that popular education about the “stages” or states of grief has helped many individuals (Maciejewski, Zhang, Block, & Prigerson, 2007), improving caregiver understanding of the potential effects of the caregiving–bereavement transition on personality could ease the adjustment of caregivers to their changing roles. Information about personality change could be embedded within health education materials or psychosocial interventions for caregivers to promote self-care (Hoerger et al., 2013; Maciejewski et al., 2007). Clinicians (psychologists, nurses, and physicians) should be aware of the possibility of personality change in the context of the caregiving–bereavement transition.

This study had several strengths, chiefly the uniqueness of the data, the use of a well-validated measure of personality, and the case–control design. Nonetheless, as the first study to examine changes in the five established domains of personality during the caregiver–bereavement transition, there were several limitations. As with any study involving self-reports of personality, the observed changes could be influenced by motivation to see oneself in a particular light. Informant reports of personality have strengths and weaknesses (e.g., Hoerger et al., 2011) but could make a significant methodological contribution to the burgeoning literature on personality change. Second, the present analyses could underestimate personality changes experienced by bereaved caregivers, as the power to detect significant effects was constrained by the total sample size ($n = 124$). Third, the investigation included two time points of observation separated by only 18 months and a control sample drawn from primary care. Multiwave studies and different controls (e.g., spouses with minimal roles in caregiving and spouses of terminal patients who survive the follow-up period) would be needed to quantify the duration of personality change and to determine whether change occurs steadily, cyclically, or suddenly, and whether changes are mainly due to the diagnosis of potentially life-limiting illness, the burdens of caregiving, or loss. For example, given that the initial assessment occurred after the patient’s diagnosis, it is possible that the process of personality change had begun by the time spouses were recruited into the study. (If that is the case, the present findings represent underestimates.) Calls for the inclusion of personality data in Electronic Medical Records (Chapman, Roberts, & Duberstein, 2011) and Medicare Welcome packets (Friedman, Veazie, Chapman, Manning, & Duberstein, 2013), if heeded, could mitigate this problem in future research. Fourth, definitive mortality data were unavailable for the entire cohort, so while we cannot rule out the possibility that those opting to complete the follow-up were more likely than nonparticipants to become interpersonally oriented, we can definitely conclude that, at least, a subset of bereaved caregivers became decidedly more interpersonally oriented. Finally, with larger samples, future studies could explore whether findings are moderated by caregiver factors (e.g., amount of

time spent with the patient, extent of other support, and health) or whether there are reliable changes in the personality factor structure during the caregiving–bereavement transition.

In closing, this study makes an initial contribution to the rapidly expanding body of research on personality change. For decades, personality research arguably operated from a defensive posture, focusing on fending off criticisms about cross-situational consistency, behavioral prediction, and construct validity. Acknowledging countervailing views, researchers in the post-Mischel era are now free to address more nuanced questions about the nature of personality change (Roberts, 2009). By providing preliminary evidence that spouses of terminally ill patients can experience personality changes during the caregiving–bereavement transition, our research consequently sparks curiosity about the mechanisms underlying personality change.

Conclusion

This preliminary study suggests that spousal caregivers of patients with terminal cancer experienced an increase in interpersonal orientation during the caregiver–bereavement transition, as demonstrated by personality change in aspects of extraversion, agreeableness, and conscientiousness. Further research examining the caregiving–bereavement transition and other life transitions (e.g., divorce, retirement, and unemployment) in large samples could clarify how life transitions affect personality development.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: National Institute of Mental Health (T32MH018911, K07MH001135, R01MH061429), National Institute on Aging (K08AG031328), and National Cancer Institute (R01CA106370).

Note

1. “Frequency matching” differs from “individual matching.” For frequency matching, the researcher ensures that samples match on the percentage of participants with various demographic characteristics and uses independent-sample analyses. For individual matching, the researcher matches individual participants on all possibly relevant characteristics (using exact matching, propensity scores, etc.) and uses paired-sample or other dependent-sample analyses. We used frequency matching, for which conventional analyses are used. Rothman and colleagues (2009) provide an extensive discussion of these methods.

References

- Aldred, H., Gott, M., & Gariballa, S. (2005). Advanced heart failure: Impact on older patients and informal carers. *Journal of Advanced Nursing*, 49, 116–124.

- Bergman, E. J., & Haley, W. E. (2009). Depressive symptoms, social network, and bereavement service utilization and preferences among spouses of former hospice patients. *Journal of Palliative Medicine*, 12, 170–176.
- Braun, M., Mikulincer, M., Rydall, A., Walsh, A., & Rodin, G. (2007). Hidden morbidity in cancer: Spouse caregivers. *Journal of Clinical Oncology*, 25, 4829–4834.
- Carr, D. (2012). Death and dying in the contemporary United States: What are the psychological implications of anticipated death? *Social and Personality Psychology Compass*, 6, 184–195.
- Caspi, A., & Moffitt, T. E. (1993). When do individual differences matter? A paradoxical theory of personality coherence. *Psychological Inquiry*, 4, 247–271.
- Cerel, J., Jordan, J. R., & Duberstein, P. R. (2008). The impact of suicide on the family. *Crisis: The Journal of Crisis Intervention and Suicide Prevention*, 29, 38–44.
- Chapman, B. P. (2007). Bandwidth and fidelity on the NEO-Five Factor Inventory: Replicability and reliability of Saucier's (1998) item cluster subcomponents. *Journal of Personality Assessment*, 88, 220–234.
- Chapman, B. P., Lyness, J. M., & Duberstein, P. (2007). Personality and medical illness burden among older adults in primary care. *Psychosomatic Medicine*, 69, 277–282.
- Chapman, B. P., Roberts, B., & Duberstein, P. (2011). Personality and longevity: Knowns, unknowns, and implications for public health and personalized medicine. *Journal of Aging Research*.
- Chapman, B. P., Shah, M., Friedman, B., Drayer, R., Duberstein, P. R., & Lyness, J. M. (2009). Personality traits predict emergency department utilization over 3 years in older patients. *American Journal of Geriatric Psychiatry*, 17, 526–535.
- Costa, P. T., & McCrae, R. R. (1992). *Revised NEO personality inventory and NEO Five Factor Inventory: Professional manual*. Odessa, FL: Psychological Assessment.
- Friedman, B., Veazie, P. J., Chapman, B. P., Manning, W. G., & Duberstein, P. R. (2013). Is personality associated with health care use by older adults? *The Milbank Quarterly*, 91, 491–527.
- Hill, P. L., Turiano, N. A., Mroczek, D. K., & Roberts, B. W. (2012). Examining concurrent and longitudinal relations between personality traits and social well-being in adulthood. *Social Psychological and Personality Science*, 3, 698–705.
- Hitchcock, K. M. (2008). *Attachment and caregiving in adults: global and relationship-specific patterns*. Ann Arbor, MI: Proquest.
- Hoerger, M., Chapman, B., Ma, Y., Tu, X., Useda, J. D., Hirsch, J., & Duberstein, P. (2011). Agreement between informant and self-reported personality in depressed older adults: What are the roles of medical illness and cognitive function? *Psychology and Aging*, 26, 1000–1006.
- Hoerger, M., Chapman, B. P., Epstein, R. M., & Duberstein, P. R. (2012). Emotional intelligence: A theoretical framework for individual differences in affective forecasting. *Emotion*, 12, 716–725.
- Hoerger, M., Epstein, R. M., Winters, P. C., Fiscella, K., Duberstein, P. R., Gramling, R., . . . Kravitz, R. L. (2013). Values and options in cancer care (VOICE): Study design and rationale for a patient-centered communication and decision-making intervention for physicians, patients with advanced cancer, and their caregivers. *BMC Cancer*, 13, 188.
- Hudson, N. W., Roberts, B. W., & Lodi-Smith, J. (2012). Personality trait development and social investment in work. *Journal of Research in Personality*, 46, 334–344.
- Jacobson, N. S., & Truax, P. (1991). Clinical significance: A statistical approach to defining meaningful change in psychotherapy research. *Journal of Consulting and Clinical Psychology*, 59, 12–19.
- Kim, Y., Carver, C. S., Schulz, R., Lucette, A., & Cannady, R. S. (2013). Finding benefit in bereavement among family cancer caregivers. *Journal of Palliative Medicine*, 16, 1040–1047.
- Kim, Y., Duberstein, P. R., Sorensen, S., & Larson, M. R. (2005). Levels of depressive symptoms in spouses of people with lung cancer: Effects of personality, social support, and caregiving burden. *Psychosomatics*, 46, 123–130.
- Löckenhoff, C. E., Duberstein, P. R., Friedman, B., & Costa, P. T. (2011). Five-factor personality traits and subjective health among caregivers: The role of caregiver strain and self-efficacy. *Psychology and Aging*, 26, 592–604.
- Lyness, J. M., Yu, Q., Tang, W., Tu, X., & Conwell, Y. (2009). Risks for depression onset in primary care elderly patients: Potential targets for preventive interventions. *American Journal of Psychiatry*, 166, 1375–1383.
- Maciejewski, P. K., Zhang, B., Block, S. D., & Prigerson, H. G. (2007). An empirical examination of the stage theory of grief. *Journal of the American Medical Association*, 297, 716–723.
- McCrae, R. R., & Costa, P. T. (1993). Psychological resilience among widowed men and women: A 10-year follow-up of a national sample. In M. Stroebe, W. Stroebe, & R. Hansson (Eds.), *Handbook of bereavement* (pp. 196–207). New York, NY: Cambridge.
- McCrae, R. R., Kurtz, J. E., Yamagata, S., & Terracciano, A. (2011). Internal consistency, retest reliability, and their implications for personality scale validity. *Personality and Social Psychology Review*, 15, 28–50.
- Ownsworth, T., Henderson, L., & Chambers, S. K. (2010). Social support buffers the impact of functional impairments on caregiver psychological well-being in the context of brain tumor and other cancers. *Psycho-Oncology*, 19, 1116–1122.
- Patrick, J. H., & Hayden, J. M. (1999). Neuroticism, coping strategies, and negative well-being among caregivers. *Psychology and Aging*, 14, 273–283.
- Prigerson, H. G., Horowitz, M. J., Jacobs, S. C., Parkes, C. M., Aslan, M., Goodkin, K., . . . Maciejewski, P. K. (2009). Prolonged grief disorder: Psychometric validation of criteria proposed for DSM-V and ICD-11. *PLoS Medicine*, 6, e1000121.
- Quoidbach, J., Gilbert, D. T., & Wilson, T. D. (2013). The end of history illusion. *Science*, 339, 96–98.
- Roberts, B. W. (2009). Back to the future: Personality and assessment and personality development. *Journal of Research in Personality*, 43, 137–145.
- Roberts, B. W., & DelVecchio, W. F. (2000). The rank-order consistency of personality traits from childhood to old age: A review of longitudinal studies. *Psychological Bulletin*, 126, 3–25.
- Roberts, B. W., & Mroczek, D. (2008). Personality trait change in adulthood. *Current Directions in Psychological Science*, 17, 31–35.
- Roberts, B. W., Walton, K. E., & Viechtbauer, W. (2006). Patterns of mean-level change in personality traits across the life course: A meta-analysis. *Psychological Bulletin*, 132, 1–25.

- Robins, R. W., Fraley, R. C., Roberts, B. W., & Trzesniewski, K. H. (2001). A longitudinal study of personality change in young adulthood. *Journal of Personality, 69*, 617–640.
- Rothman, K. J., Greenland, S., & Lash, T. L. (2008). *Modern epidemiology*. Philadelphia, PA: Lippincott.
- Saucier, G. (1998). Replicable item-cluster subcomponents in the NEO five-factor inventory. *Journal of Personality Assessment, 70*, 263–276.
- Shapiro, E. R. (2001). Grief in interpersonal perspective: Theories and their implications. In M. S. Stroebe, R. O. Hansson, W. Stroebe, & H. Schut (Eds.), *New handbook of bereavement: Consequences, coping and care* (pp. 301–327). Washington, DC: American Psychological Association.
- Shear, K., & Shair, H. (2005). Attachment, loss, and complicated grief. *Developmental Psychobiology, 47*, 253–267.
- Specht, J., Egloff, B., & Schmukle, S. C. (2011). Stability and change of personality across the life course: The impact of age and major life events on mean-level and rank-order stability of the Big Five. *Journal of Personality and Social Psychology, 101*, 862–882.
- Specht, J., Egloff, B., & Schmukle, S. C. (2013). Examining mechanisms of personality maturation the impact of life satisfaction on the development of the big five personality traits. *Social Psychological and Personality Science, 4*, 181–189.
- Wilson, T. D. (2009). Know thyself. *Perspectives on Psychological Science, 4*, 384–389.

Author Biographies

Michael Hoerger is an assistant professor of psychology and psychiatry at the Tulane Cancer Center and adjunct assistant professor of psychiatry at the University of Rochester Medical Center.

Benjamin P. Chapman is an associate professor of psychiatry at the University of Rochester Medical Center.

Holly G. Prigerson is the codirector of the Center for End-of-Life Research and a professor of geriatrics and sociology in medicine at the Weill Cornell Medical College

Angela Fagerlin is an associate professor of internal medicine at the University of Michigan Medical School.

Supriya G. Mohile is an associate professor of medicine at the University of Rochester Medical Center.

Ronald M. Epstein is the associate dean of education, evaluation, and research, and a professor of family medicine, psychiatry, oncology, and nursing at the University of Rochester Medical Center.

Jeffrey M. Lyness is the senior associate dean for academic affairs and a professor of psychiatry at the University of Rochester Medical Center.

Paul R. Duberstein is a professor of psychiatry and family medicine at the University of Rochester Medical Center.